

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Shields, Lawrence P. et al. CONFIRMATION NO.: 6203
SERIAL NO.: 10/003,613 GROUP NO.: 3693
FILING DATE: November 2, 2001 EXAMINER: Chandler, Sara M.
TITLE: SYSTEM AND METHOD FOR IMPLEMENTING
EMPLOYEE STOCK PLANS

SUPPLEMENTAL AMENDMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir or Madam:

Pursuant to 37 C.F.R. § 1.111(a)(2), Applicants respectfully request entry of this Supplemental Amendment.

An amendment, along with a Request for Continued Examination, was filed on October 31, 2007 in response to a Final Office Action mailed from the U.S. Patent and Trademark Office on October 3, 2007 in the above-identified application. In that amendment, claims 1-4, 6, 8, 11-21 and 35-44 were amended and claims 5, 9, 10, 22-34 and 45-62 were canceled.

Subsequently on December 20, 2007, the undersigned attorney for the Applicants conducted a telephonic interview with Examiner Chandler and Examiner Patel regarding claims 1 and 21 in view of the prior art of record. This Supplemental Amendment further amends the claims of the present application according to suggestions from the Examiners to place the claims in condition for allowance.

The Commissioner is hereby authorized to charge all fees due to Attorney's Deposit Account No. 16-2500.

Amendments to the Claims are reflected in the listing of claims that begin on page 2.

Remarks begin on page 9.

Please amend the application as follows: